

# The Flintshire Community Endowment Fund

## Proposal

Presented to Flintshire Council

May 2012

# Contents

1. Introduction
2. Executive Summary
3. The Community Foundation in Wales
4. Benefits to Flintshire Council
5. Management of the Fund
6. Quality and Impact Measurement
7. Management Fees
8. Financial Management and Investment Practices
9. Future Fund Development
10. Summary

Appendix 1. List of groups in Flintshire supported by the  
Foundation in the past 3 years

Appendix 2. Patrons and Board of Trustees

# 1. Introduction

The Community Foundation in Wales (the Foundation) is delighted to present this proposal to work in partnership with Flintshire County Council (the Council) to develop a new charitable fund for the benefit of residents across the county.

The proposed Flintshire Community Endowment Fund (the Fund) will seek to draw together a number of funding streams to make it easier for community and voluntary organisations based throughout the county to access funds from a single source.

It is proposed that the Fund will consist of two elements: -

**1. Endowment** – initially to be pump primed by the successful: -

- Liberation, through the Charity Commission in Wales (with whom the Foundation enjoys a first class relationship), of any qualifying moribund or ineffective trust funds currently administered by the Council
- Acquisition of any appropriate committee administered active trust funds currently supported by the Council

The assets from all qualifying funds, to be liberated by the Foundation in conjunction with the Charity Commission in Wales, to be held for the long-term benefit of future generations, with an annual transfer being made into the distribution fund (see below) to facilitate immediate grant awards to grass roots community and voluntary groups across the county.

Added value would also be achieved as a result of the Foundation's unique partnership with the Charity Commission involving identifying any redundant and ineffective funds domiciled across the County (outside Local Authority control) which are referred to the Foundation by the Charity Commission under the BOOST Initiative ([www.boostinitiative.com](http://www.boostinitiative.com)). We are happy to

commit any appropriate liquidated proceeds arising from funds liberated to the Fund as a result of our work in this area, to benefit organisations within the county under the umbrella of the Flintshire Community Endowment Fund.

**2. Distribution** – Immediate impact to receive the transfer of net annual interest/dividends from the endowment element of the Fund to facilitate grant making.

In addition now, or at any time in the future, to include the opportunity to accommodate all or part of the Council's voluntary sector small grants funding streams.

Added value is critical to the success of the partnership between the Foundation, and the Council to ensure the effectiveness of the Fund. This can be achieved, for example, through staff member support in advocating for other Council and public sector charitable assets and grant-making programmes to be consolidated, leveraged and enhanced through the Flintshire Community Endowment Fund. Should the Council agree to this proposal the Foundation will commit to adding value to the Flintshire Community Endowment Fund by allocating extra and new resources to fund development work – achieving new investment from the business community and wealthy individuals in the county.

We are proposing to follow a proven model which has been successfully piloted in Wales and which is being adopted across the United Kingdom by members of the Community Foundation Network who are collectively engaged in partnership working with over 50 Local Authorities. This approach has identified and liberated in excess of £20 million of endowed charitable trusts, moribund and ineffective trust funds which have been transferred and liberated creating in excess of £1.3 million per annum increased capacity in local grant making to grassroots community groups by growing their respective Community Funds both organically and through acquisition.

This proposal explains how, in particular, the Foundation can use its wide experience of;

- Working with the Charity Commission in Wales
- Partnering with local authorities
- Managing high-impact local grant making
- Administering charitable trusts and assets
- Supporting and guiding the Council through the process required to release and transfer both its moribund and ineffective trust funds
- Appropriate actively managed trusts for the benefit of the people of Flintshire

## 2. Executive Summary

Charitable Trusts can become moribund or ineffective for a variety of reasons.

Their original purpose may be outdated and irrelevant to current needs or may have simply ceased to exist or ceased to have been fulfilled. This is particularly likely where the objects of a charity focus on specific projects or purposes that are successfully completed, leaving surplus or residue fund balances that in time become obsolete. It is also a common issue, particularly for local authorities, that managing multiple charitable assets, with the burden of investment, grant-making and Charity Commission compliance becomes onerous, expensive and not prioritised.

Changes in Charity Law now impose a legal requirement that every organisation set up for one or more charitable aims must be able to demonstrate that its aims are for the **public benefit** if it is to be recognised and registered as a charity in England and Wales. The Charity Commission in Wales has regard to what the organisation's aims are, whether those aims are charitable and whether the aims are fully and accurately reflected in its stated objects. It will also consider whether each of the aims are for the public benefit and whether they will, or may be, carried out for the public benefit, taking into consideration the particular circumstances of the organisation.

This imposes a burden on individual charity trustees, including local authorities, to ensure that they do not become negligent in charity and they are carrying out their charitable aims to the satisfaction of the public benefit test.

Against this background the Community Foundation in Wales has, over the past 3 years, engaged with 4 local authorities across Wales and successfully launched local Community Endowment Funds following the successful transfer of assets totalling £4.5 million

Key to this initiative was the development of a protocol with the Charity Commission in Wales and as far as moribund and trust funds are concerned, to interpret and utilise sections 74 and 75 of the Charities Act 1993 as revised and enhanced by the 2006 Act.

Liberation and transfer allows funds currently held in a moribund and ineffective state to be revitalised and successfully safeguards them for future generations.

Many Local Authorities provide support services and commit considerable advice and offer time to fulfilling their role as trustees of active trust funds which, in some cases, can prove to be time consuming and costly to maintain with no direct benefit to the Council. For example, completing Charity Commission returns, producing accounts and administering and monitoring grants on behalf of Council-stewarded charitable assets can be viewed as non-core activities for accounts, education and administrative staff.

**The Foundation believes that the identification and transfer of active, moribund and ineffective trust funds would provide the Council with a flexible, cost effective and trouble free solution to what may currently represent an on-going administrative burden that in some cases delivers no charitable benefit.**

Our proposal would create a new and high-impact source of sustainable funding for the Community and voluntary sector and also relieve the Council of its current charitable, legal, management, and administrative responsibilities and the considerable connected costs.

In summary it is proposed that the endowment element of the Fund is established from the pooled balances of all moribund and ineffective trust assets and that the Council undertakes a review of its active trust fund portfolio to establish whether the Foundation could prove to be an able manager and administrator.

The Foundation will ensure revenue and income generated from the net interest accrued by the Fund will be used specifically to meet the needs of local people across the County, in line with the charitable objectives and where possible dovetailing with the Council's corporate plan and /or improvement plan objectives.

The Fund will provide local people with a totally sustainable source of finance that will in time make a significant contribution to meeting the needs of the area's small charities, community groups and voluntary sector organisations.

There are no direct costs to the Council for achieving this proposal; the Foundation charges **to the charitable asset** a transfer fee and annual management fees for administering the investment and grant-making. These fees are competitive and cost-effective.

It is hoped that the Council will give serious consideration, now or sometime in the future, to allocating whole or part of its small grants scheme budget to the distribution element of the Fund. This could facilitate immediate grant making and act as a major gesture of goodwill towards the overall partnership.

Once key financial information has been received from the Council and presented to the Charity Commission in Wales by the Foundation it may be possible to pump prime the distribution element of the Fund by top slicing an amount of accrued income to provide immediate support to qualifying grant applications.

In setting out, over time, to create a major funding portal for qualifying grassroots community and voluntary groups to access, it should be remembered that the Foundation will always attempt to signpost applicants to other relevant named funds under its control should the application be eligible for the 25-plus other Funds managed by the Foundation.



### 3. The Community Foundation in Wales

The Foundation is a company limited by guarantee, a registered charity and a fully accredited member of the Community Foundation Network to customised quality standards endorsed by the Charity Commission.

With a decade-long track record of 'matching donors with do-ers' the Foundation performs three main functions – promoting philanthropy, client philanthropy advice services and grant-making.

As an independent charity the Foundation is dedicated to strengthening local communities and promoting philanthropy using its knowledge of needs to manage excellent grant programmes which make a sustainable impact.

The Foundation is cause neutral and independent.

During the last financial year the Foundation:-

- awarded £1.7 million in grants on behalf of clients, enabling local people to achieve extraordinary things in their communities.
- supported 239 organisations and 63 individuals; these groups funded on behalf of clients have over 69,900 beneficiaries and 5,200 volunteers.
- Increased the level of assets held in endowment to over £6.7million

The Foundation's clients include individuals, families, business and charitable trusts; people and organisations who recognise the value of our philanthropy services in stewarding their charitable giving, maximising its impact and administering and monitoring grants to demonstrate a sustainable legacy.

Chaired by the Hon. Antony Lewis, the Foundation's trustees come from the private, public and charitable sectors and bring a wealth of expertise and experience to support its charitable objects.

The eight Lord Lieutenants in Wales are Vice Presidents and the Foundation is supported by a broad range of volunteers, supporters and advocates across the country.

The Charity Commission in Wales, Welsh business and individual donors are amongst those who attest the Foundation's services and value their role in promoting philanthropy in Wales. Charity clients include Comic Relief; The Fair Share Trust and the Henry Smith Charity.

In recognition of the Foundation's national value and ambitious plans the Welsh Assembly and the Esmee Fairburn Foundation are currently investing in support of the Foundation's core work and growth.

The Foundation provides a cost effective and efficient broker service. This simple structure allows organisations, wealthy individuals and successful businesses to maximise the potential of cause related community involvement, ensuring that all gifts have a real impact, for all the right reasons and for all time.

The Foundation represents a new generation of social business, accountable, transparent, creative and active in engaging committed donors and matching them with areas of genuine concern. This is done in a manner that improves, develops and supports Flintshire's diverse charity, voluntary and community organisations.

The Chief Executive Officer of the Foundation is Liza Kellett who has been a dynamic and personable driving force since 2008.

Over the past 5 years the Foundation has made grants in excess of £4.5 million to support over 600 groups, individuals and projects across Wales, working to meet a range of needs. These include investing in charities, projects and community groups which; enable young people and promote education and enterprise; build cohesion and confidence in communities;

improve physical and mental health; nurture heritage and culture and protect our environment. Flintshire groups and individuals alone have benefited from £42,891 through 18 awards in the last three years.

**Appendix 1** provides a list of groups and projects supported in Flintshire during this period and an insight into the diverse range of groups the Foundation has funded.

The Foundation provides a professional approach for those individuals and organisations that want to invest in local communities and to be engaged in proactive community development.

It also employs a creative management structure utilising a range of modern corporate tools. This includes, fund management, data collection, marketing concepts and reporting techniques that maximise impact, ensure transparency and guarantee donor involvement through specific reporting procedures.

The Foundation's running costs are met through a range of fees that are charged for managing and administering funds and also from private and Welsh Government grants and donations in support of core costs.

## 4. Benefits to Flintshire Council

The formation and commitment to develop the Flintshire Community Endowment Fund will create capacity and added value to increase grant making to community and voluntary groups across the County through the creation of a sustainable fund for the future.

This will result in a positive difference to local communities in tandem with the creation of positive press.

Liberation of moribund and ineffective trust funds and the transfer of appropriate active trust funds will relieve the Council of the annual cost and burden of administration and ensure that the assets held in the trust funds in scope are used to create a modern endowment fund where the objects will ensure that the interest and dividends earned on the money held in perpetuity will support the current and future needs of the community.

Once the Fund is launched it will effectively be managed by the Trustees of the Foundation who will become legally responsible for the Fund, its assets and delivery of its objects in accordance with Charity Law, including adherence to the wishes of the original benefactor.

The Foundation's Patrons and Board of Trustees (see **Appendix 2**) hail from a variety of backgrounds and possess a wide range of skills and experience and an extensive knowledge of the local community and its needs.

### **Cost effective grant management**

One of the major benefits to the Council in partnering the Foundation to establish the Flintshire Community Endowment Fund will be the cost effectiveness in managing multiple trust funds. The Foundation has a professional grants team looking after policy, procedures, delivery, due diligence, monitoring, evaluation and administration. By allowing the Foundation to steward the Flintshire Council's charitable trusts for the benefit

of the people of Flintshire it will greatly reduce the Council's cost of this administrative burden, with the additional bonus of the Foundation multiplying the amount of funding available for local grant making purposes.

Reference to the Foundation's proposed fee structure to effectively manage the Fund is contained in **Section 7** of this proposal. Management fees are charged to the charitable asset and not to the Council.

### **Enquiry handling**

The Foundation would handle all incoming requests for community-based support to the proposed new Fund.

Applications that did not meet the criteria of the Fund would, where considered appropriate, be signposted to alternative funding sources, and indeed, to other grant programmes managed by the Foundation. This would reduce the risk of local groups being disappointed or turned away due to lack of funds.

### **Meeting needs**

In any community, many fundamental needs are hidden from view, with a great deal of vital work being undertaken by smaller, less known charities and community groups. It can be difficult to find out about local charities and community projects that need support.

In running county or town-specific Funds we often gain local intelligence from the local County Voluntary Council and we convene and collaborate with other funders, community workers and a range of local people with community knowledge.

We can guarantee that money will only be spent locally and in support of charitable purposes.

The Council's corporate and improvement plan objectives and community plans will be fully embraced to ensure that, wherever possible, grant making is effective and satisfies the priority themes and outcomes within the Local Area Agreement (LAA) or equivalent.

For example, and subject to Flintshire priorities and the objectives of the charitable assets, grant-making criteria, could include: -

1. Older People
2. Children and Young People
3. Safer, Stronger Communities
4. Sustainable Environment
5. Physical and Economic Regeneration
6. Health and Healthy Living
7. Education and commitment to Lifelong Learning

### **Publicity support**

Through our in-house marketing and communications process, we will maximise the PR potential of the Fund by actively promoting both the benefits passing to local groups and the Council's involvement and commitment to social responsibility, ensuring that this is fully recognised and well documented.

### **Growing the fund**

We would seek to work with the Council, in true partnership, to effectively grow the Fund organically and through acquisition.

The Foundation will convene a range of allies and local support to advocate for the Fund, raise the profile of the opportunities to donate into it (or set up an additional Fund under the Flintshire umbrella) and support the Foundation's business development work for Flintshire, supplemented by a formal Flintshire Fund Development Plan that will widen the initial partnership and deliver growth to the endowment and distribution elements of the Fund.

Over time, this work will add value to and increase the grant making capacity of the Fund, providing positive press and achieving a strong community impact in terms of organisational sustainability, community action and on the lives of the beneficiaries and volunteers.

## 5. Management of the Fund

The Foundation will actively support the Council's staff and members through the Council's decision-making process. This includes making presentations at Board/Cabinet/Compact meetings or equivalent; summarising the proposal for different audiences; advising key staff on information needs; pre-transfer and provision of templates for communications with the Charity Commission and for accounts staff. In this way we will underpin all the Council's pre-transfer work to establish the Fund, and concurrently and into the future, undertake activities as outlined below.

Once the Fund has been effectively pump primed the Foundation will publicise its establishment, in partnership with the Council. For example we usually hold a launch reception, inviting civic leaders, potential donors, the media and supporters and potential partners from the voluntary sector.

As part of this unique partnership the Foundation will:

- Liaise with the Charity Commission to obtain an initial "in principle sanction" to obtain scheme arrangements and or to utilise sections 74 and/or 75 of the 1993 and 2006 Charities Acts and ultimately undertake the work to facilitate the transfer of all qualifying trust funds and/or their assets.
- Design a Flintshire grants programme (e.g. including criteria priorities, marketing and promotion plan and Fund timetable).
- Use the specialist finance and investment skills and expertise of its investment committee to ensure the highest possible return for grant-making, in line with the Foundation's investment policies.
- Provide extensive grant making experience and knowledge to ensure the success of the Fund using the management and administrative services of its professional grants team.
- Ensure that the Council's corporate, improvement and community plan objectives are achieved in respect of grant awards made from



the Fund. This will be achieved by working in partnership to develop the Fund criteria which in turn will govern the distribution of grants.

- Produce an annual impact report to present to the Council to demonstrate the effectiveness of Flintshire grant making.
- Deliver a pro-active approach to grant making, targeting the identified community groups and people to ensure effective delivery of funds.
- Undertake all administrative duties involved in running the Fund, including investment and financial management, the production of accounts, Charity Commission annual returns and any tax reclaims where appropriate.
- Ensure that all grant awards will be distributed in the name of the **Flintshire Community Endowment Fund**.
- Work with the Council to actively widen the initial partnership with a view to developing the Fund through a number of planned initiatives which would be contained within the Flintshire Fund development plan.
- Organise a high profile formal launch of the Fund (perhaps as part of the partnership the Council would host this at one of its civic venues).
- Arrange press releases in line with grant awards to maximise positive press for the Council and the Foundation and to increase awareness and availability of the Fund.

## 6. Quality and Impact Measurement

### National Quality Standard



In 2010 the Foundation reached the Quality Accreditation Standard set by the Community Foundation Network (CFN) to ensure Community Foundations are healthy, strong and sustainable. The standard is aimed at making it easier for those Community Foundations that have attained the accreditation to bid for and deliver national contracts and partnerships.

The Quality Accreditation Standard has received the endorsement of the Charity Commission (England and Wales) ensuring that those Community Foundations who achieve the standards will be effective in the delivery of their services to users.

### **Diligence**

The Foundation operates a robust due diligence in all its activities, including grant-making. We have a baseline due diligence check on all applicants, which increases proportionately with the size and term of the grant award. For example, references are checked for every grant beneficiary, as are the constitution and accounts, many are visited by our grants team and monitoring reports are expected for every beneficiary.

### **Fund Monitoring and Evaluation**

The Fund would be allocated a dedicated Manager at the Foundation, responsible for programme management. Monitoring reports from all beneficiaries (usually received after six months) are scrutinised by the Grants team and actioned/flagged where necessary

Performance of this Fund would be monitored regularly by the Foundation's Board's Grants Committee (at least twice a year). Investment performance is managed by the Finance, Risk and Investment Committee which reports quarterly to the Board. The Board monitor the performance of the Foundation annually and the Chief Executive monitors and evaluates the performance of each Fund annually, reviewing a range of impact indicators.

The Foundation would actively report back on the Fund performance and subsequent grant awards in an annual Impact Report. News items, features and grant information would be featured in the Foundation's website, annual review and annual report.

## 7. Management Fees

The Council will incur no real costs in transferring stewardship of its charitable assets to the Foundation to create the Flintshire Community Endowment Fund, although some staff time will need to be dedicated to the pre-transfer process. The Foundation makes no charges to the Council for its services, as is usual practice across all Community Foundations across the UK for work of this type, and as endorsed by the Charity Commission, the Foundation operates a standard model of management fee charges to the charitable asset.

1. a **one-off establishment fee** would be negotiated with the Council to represent the direct costs aligned to the work undertaken by the Foundation to establish the Fund and liberate/transfer all trusts and assets in scope.
2. The value of all assets held in the form of endowment within the Flintshire Community Endowment Fund will incur an **annual management charge of 1.25%** of the market value of the Fund.
3. The Foundation makes an **annual grant programme management fee of 10%** of the grant-making total to cover the management and administration costs of grant-making from this Fund.

The Foundation adds great value, at its own cost, through actively promoting and growing the Fund for the benefit of people across the county of Flintshire.

## 8. Financial Management and Investment Practice

The Foundation's Board appoints a Finance, Risk and Investment Committee of experts in the fields of finance and investment. They in turn develop and review the Board's Investment Policy and performance and recruit and monitor the services of benchmarked and scrutinised fund managers to ensure best possible levels of growth that will sustain and maximise high-impact grant making programmes. The FRIC reviews Fund Manager Performance quarterly and annually against a range of benchmarking tools. Members of this Committee are noted with an \* in **Appendix 2**.

At present our endowment investments are placed with UBS, J P Morgan and Barings Investment Managers and our grant making funds are deposited with Royal Bank of Scotland.

Fund performance will be evaluated quarterly and a statement of account produced annually in accordance with Inland Revenue and Charity Commission criteria. This will also be published in Foundation's annual Report and Accounts and summarised in the Impact Report of the Flintshire Community Endowment Fund.

## 9. Future Fund Development

### - Strategic Development Group (SDG)

The Foundation will work in partnership with the Council to increase the capacity of the Fund by engaging with senior officers and appropriate members within the Council, the relevant Chambers of Commerce, professional bodies and representatives of prominent businesses across the County, with a long-term objective of growing both the permanent endowment and distribution elements of the fund.

The Foundation's Chief Executive will develop a Flintshire Community Endowment Fund Development Plan; a business plan to achieve profile, partnerships and ultimately additional grant programmes, donations and sub-funds to benefit the community and voluntary sector in Flintshire.

Added value will be achieved, should this Fund for Flintshire be established, through, for example:

- The Foundation focussing existing and new broader grant programmes on Flintshire groups
- Increased grant-making from the Foundation's new Fund for Wales ( a generic, Wales-wide Endowment Fund created on the 1 March 2011 and which has the Prince of Wales as Patron)
- The Foundation's programme of work to support the fundraising capacity and sustainability of the community and voluntary sector through its on-line profile and fundraising programme – [localgiving.com](http://localgiving.com)

Primary targets for growth of the Fund include:

- Transfer of appropriate **active** trust funds currently administered by the Council
- Adoption of redundant and ineffective trust funds as identified by the BOOST initiative (a Community Foundation Network-wide initiative in partnership with the Charity Commission)

- Community benefit Funds (e.g. wind farms) can sometimes come into this category
- The creation of a new Endowment Funds from private individuals and families

### **Immediate Impact**

- New annual grant programmes established by the Foundation's work with businesses and families
- In partnership with the Council, additional elements of the annual Council's discretionary and community grants programmes where feasible
- Payroll giving
- General donations from companies, local businesses, regeneration partners, wealthy individuals (i.e. those below the 'own Fund threshold of £10,000)
- Acquisition of grant-making responsibility from the existing donors who wish to out-source the responsibility and administration to an appropriate third party

## 10. Summary

The Foundation would be delighted to work in partnership with the Council to facilitate the liberation of moribund and ineffective trust funds and the transfer of appropriate active trust funds that are currently administered by the Council.

It is proposed that such funds are used to establish a named endowment fund, The Flintshire Community Endowment Fund, managed by the Foundation for the benefit of the community and voluntary sector and citizens of Flintshire.

Under the Total Return approach operated by the Foundation, the return generated by the Endowment Fund (dividends interest and capital growth) is retained within the Fund and annual transfers are made into the Distribution Fund to be used for the immediate benefit of the people across the County with grant awards being made for charitable purposes to smaller local charities and community groups.

Subject to agreement, application will be made to the Charity Commission to consider top-slicing an agreed amount of accrued interest from the charitable assets potentially available for transfer from the Council to the Foundation, to provide immediate grant-making. For example, retaining £10,000 for two years, to 'kick-start' grant-making from the Flintshire Community Endowment Fund - thus enabling time for the investment income to accrue and for the Foundation to secure other sources of grant funding.

Added value in growing the capacity of the Fund is fundamental to the success of this venture. Partnership working with the Council in advocacy and signposting and could also result in other Council assets and grant programmes being managed through the Flintshire Community Endowment Fund. The Foundation will create a Flintshire Fund Development Plan and achieve new income for Flintshire grant-making.



The Foundation will take complete responsibility for the management and administration of the fund including all legal requirements.

This proposal is based upon the Foundation's ability to deliver an unparalleled level of charitable service directly to the heart of the local community.

**By working in partnership with the Foundation we believe the Council can generate a sustainable Fund that will serve the County "today and forevermore" ensuring that a real difference can be made to the lives of ordinary people, in particular those identified as in greatest need.**

## Appendix 1.

### List of grants made in Flintshire supported by the Foundation in the last 3 years.

Organisation Name	Amount Awarded	Brief Description
Plas Derw Trust Ltd	£7,849.00	Towards the costs of running 'Mission Explorable', a project for children and young people to experience the outdoors and discover more about themselves.
North Wales Superkids	£2,000.00	To purchase toys to be distributed to children in North Wales for Christmas.
DangerPoint	£1,000.00	To deliver an additional road safety event for 500 more pupils.
Dyffryn Ceiriog Riding for the Disabled Association	£4,500.00	Towards costs of operation of the riding sessions and funding for a riding holiday for children with disability (autism, cerebral palsy, scoliosis, visual and hearing)
Holywell Autumn Club	£2,500.00	Towards the costs of coach, meal, rail and river journeys and theatre in a programme of outings for their elderly members.
Multiple Sclerosis Support Centre	£3,600.00	12 month pilot delivering free, weekly circuit training based exercise classes to people with MS.
Plas Derw Trust Ltd	£2,060.00	To fund 'Mission Explorable' a series of activity days that take children canoeing and rock climbing over two days
Bullies Out	£1,000.00	To deliver Hand of Courage workshops across North Wales.
Carmel Toddler Group	£900.00	To purchase new children's furniture and storage equipment.
Cylch Meithrin Maes Y Coed	£1,000.00	To purchase new equipment and craft materials
Deeside Silver Band	£1,000.00	The money would go towards new music books, uniforms and instrument repair and also the rent for the hall and expenses for a music teacher.
Eye 2 Eye	£1,000.00	Towards a social club for people who are visually impaired.
Holywell Autumn Club	£937.00	Towards costs of an outing for the elderly members of the group.
Llanasa Scarecrow Festival	£750.00	To purchase a small marquee, to facilitate children's activities during the Scarecrow Festival and could also be used by local groups and organisations.
Playmates	£1,000.00	To buy craft materials and equipment and somewhere to store what they create.
DangerPoint	£1,995.00	To cover transport and staff costs to deliver a new road safety presentation and reconstruction at the centre for young people.

<b>Organisation Name</b>	<b>Amount Awarded</b>	<b>Brief Description</b>
Plas Derw Trust Ltd	£4,800.00	To fund the running of a project called Seed to Side Board that offers practical work experience to young people at risk of exclusion.
Friends of Flintshire Citizens Advice Bureau	£5,000.00	Towards the training of volunteers, tutors for informal IT and other courses, and to purchase digital camera equipment.
TOTAL	£42,891.00	

## Appendix 2.

### Patrons

#### **President**

Captain Sir Norman Lloyd-Edwards KCVO, GCStJ, RD\*, JP, RNR

#### **Vice Presidents**

Byron Lewis Esq, HM Lord Lieutenant of West Glamorgan

His Honour Huw Morgan Daniel C.StJ, HM Lord Lieutenant of Gwynedd

The Hon. Mrs Shân Legge-Bourke LVO, HM Lord Lieutenant of Powys

Mrs Kathrin Thomas CVO, HM Lord Lieutenant of Mid Glamorgan

Dr Peter Beck, HM Lord Lieutenant of South Glamorgan

Trefor Jones Esq CBE, HM Lord Lieutenant of Clwyd

Simon Boyle Esq, HM Lord Lieutenant of Gwent

The Hon. Robin Lewis OBE, HM Lord Lieutenant of Dyfed

#### **HRH the Prince of Wales ‘Patron of the Fund for Wales’**

### Board of Trustees

#### **Chair**

#### **The Hon. Antony Lewis**

Antony Lewis is the Chair of the Community Foundation in Wales. He is also Chair of the Mid Wales Food and Land Trust. He is a trustee of the Institute of Rural Health, the Newtown Market Hall Building Preservation Trust, the Powys Association of Voluntary Organisations and Rekindle, a mental health charity. He was the chair of the Powys Family Health Services Authority from 1990 to 1996 and the Powys Health Care NHS Trust from 1996 to 2003. He is a barrister and a member of the Competition Appeal Tribunal.

#### **Trustees**

#### **Dr Caryl Cresswell**

Dr Caryl Cresswell, Director of Enterprise Development Associates Ltd (EDA) has demonstrated practical experience of working with the social, public and private sectors in business creation, development, survival and growth with expertise in business and strategic planning, funding applications, governance and project management. From a background in academia and manufacturing engineering she provides both an operational and business perspective and as a successful and experienced project manager she achieves solutions, outcomes and results within timelines and budgets.

Caryl is a consultant with the Welsh Assembly Government for Enterprise Education in HE/FE and with Wales Co-operative Centre for social enterprise development. As a Trustee of the Community Foundation in Wales, chair of the HR Committee and chair of the Newport Strategic Group she is engaged in both professional and volunteering opportunities with South Wales communities to provide community leadership and encourage and support

sustainability through effective governance, planning, management, systems and triple bottom line control.

### **Peter Davies OBE**

Peter Davies is currently Commissioner for Wales on the UK Sustainable Commission and Co-ordinator for The Prince's Charities in Wales. His career background is in the field of corporate social responsibility working for the Confederation of British Industry and the Department of Trade and Industry and was also Managing Director for Business in the Community (1995-2005). Peter was awarded an OBE in 1995 for work in establishing links between business and education under the DTI's Enterprise Initiative (1989-1995). He is project consultant for Wales and the Millennium Development Goals Task Force, Welsh Assembly Government's Corporate Challenge programme, WCVA Climate Change programme and St James Ethics Centre corporate responsibility research programme. He also has many other responsibilities among which are being a member of the Climate Change Commission, Chair of the Cambrian Mountains initiative, and Trustee of Prime Cymru.

### **David Dudley**

David Dudley is the Vice Chair of the Foundation. After a career spanning 34 years and many countries, David left the Army as a Lt Colonel to assume the new post of Information Manager at the N Wales Health Authority. He went on to become Chief Executive of Epilepsy Wales and took on a number of roles in the Voluntary Sector. For many years he was on the Board of the Rolls-Royce Enthusiasts' Club, including a spell as Chairman. Although now 'retired', he still plays a part in local affairs and is Vice-Chairman of his local Community Council.

### **Jon Hollins**

Jonathan Hollins is Group Marketing Director of the Freshbaked business services group. With over 20 years PR and marketing experience, Jonathan is an elected member of the Chartered Institute of Marketing and award winning PR professional, and has worked for national organisations including Boots, RAC, Lloyds TSB and the former Welsh Development Agency. Having previously acted as Head of the South Wales Chamber of Commerce in Cardiff, he acts as a conduit between the Welsh business community and the Foundation.

### **Ilene Hoyle**

Ilene Hoyle is the director of Ilene Hoyle Consultancy, her own consultancy and training business serving the not for profit sector across the UK. With over 25 years in the sector Ilene specialises in supporting the strategic development of small and medium sized charities. A licensed trainer and member of the Institute of Fundraising as well as the Chartered Institute of Personnel and Development, Ilene's areas of interest include strategic fundraising and governance. In 2009 her title in the Tiny series, ***A Tiny Guide to an Effective Fundraising Strategy*** is being published. Ilene's client list is large and diverse. Ilene uses her expertise in the sector and her charity governance experience to support the development of the Community Foundation in Wales, where she currently chairs the Forward Planning Group.

### **Drewe Lacey\***

Drewe Lacey holds the Diploma in Financial Planning and for 27 years has been an independent financial adviser. He is presently Executive Chairman (and Founder) of Alchemy Wealth Management Ltd, and a Director of the Portland Financial Group Ltd and Portland Financial Management SA, in Geneva. These companies provide tax and investment services in the UK and Internationally. His first career in Industry was with Ford Motor Co and BTR plc in business and General Management in the UK and Overseas. He holds post graduate Management and Marketing qualifications and is a member of the Institute of Directors.

He has written papers on Charitable Giving and Retirement Options, and Corporate Sponsorship and is actively engaged in developing relations between Freemasonry and Community Foundations.

Drewe also has an interest in fundraising whilst using his other pursuits, music and running e.g. London Marathon (1998, 2003) and his involvement in Monmouth Music Theatre and has organised a major fundraising event for the Monmouth Branch of Friends of Chernobyl's children.

### **Frank Learner (Honorary Treasurer)\***

Frank Learner is Principal of Greengage Associates – providing financial management and governance services to the Voluntary Sector. He spent most of his working life in the Royal Air Force; his last role was Treasurer of the Service's main grant-making charity - earlier roles included Head of Internal Audit at RAF St Athan in the Vale of Glamorgan and Head of HR/Finance at RAF Valley in Anglesey. Frank is the Foundation's Treasurer; he is also a member of the Charity Finance Directors' Group's Regional Committee for the South West of England & Wales. Frank has won WCVA's volunteer of the year award for 2010 which was to recognise the extensive Accountancy work and invaluable commitment he offers the Foundation.

### **Henry Robertson**

Henry Robertson, is business development director for Rathbone Brothers Plc (the Investment Manager), where he has been employed since 1994. The company has good links with several Community Foundations. In addition he manages his family property and business at Crogen near Corwen, where the family have been living for several generations. Prior to joining Rathbones, Henry served in the regular Army for 17 years.

Henry served as High Sheriff for Clwyd in 2009/10 and subsequently became a member of the Reserve Forces and Cadet Association in Wales, trustee of Dangerpoint and Clwyd Crimebeat. Together with his wife Sarah, he actively engaged with the promotion of young people and rehabilitation for offenders, as well as proactive support for St Dunstan's which supports blind ex-servicemen. He joined HM Queen's Bodyguard of the Yeoman of the guard in 2009.

### **Julian Smith\***

A former professional Engineer and international industrialist, subsequently worked for West Wales Chamber of Commerce to bring Objective 1 funding to the private sector. Has lived for 19 years in Mumbles since returning from overseas, he has recently stepped down as a Council member of Swansea

University and as a Director of Care and Repair Neath Port Talbot. Julian still represents West Wales Chamber of Commerce on various NPT bodies and is well connected in the Swansea Bay area. He is also Company Secretary of Mumbles Development Trust and Secretary of the Mumbles Twinning Association.

**Michael Westerman**

Michael is a fundraising and philanthropy consultant, mentor and business advisor, with over 35 years experience in the voluntary and corporate sectors. A former Chair of both The Assoc. of Fundraising Consultants and the Institute of Fundraising(Midlands). He brings to the Foundation a wealth of fundraising expertise. Michael helped create one of the UKs most successful voluntary organisations - ActionAid in 1972 and in 1980 formed the award winning consultancy The Midas Partnership. He has lectured widely on fundraising in Asia, Australia, India, and the UK, and holds a diploma in Communication, Advertising, and Marketing: is a Life Fellow of The Institute of Marketing: is a Member of The Institute of Fundraising: and is a member of The Institute of Sales Promotion.

**\* indicates members of the Finance, Risk and Investment Committee.**

**Julian Rathbone of Rathbones** is a co-opted advisor to the Finance, Risk and Investment Committee.